

PTO/SB/17 (01-03)

Approved for use through 04/30/2003, OMB 0851-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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# FEE TRANSMITTAL

## for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 3210

## Complete if Known

Application Number 09/783,184  
 Filing Date 02/15/2001  
 First Named Inventor Dr. Walter Rosenbaum  
 Examiner Name To be assigned  
 Art Unit To be assigned  
 Attorney Docket No. 2001P01889US

JAN 07 2003

PETITIONS OFFICE

## METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

## Deposit Account:

 Deposit  
 Account  
 Number  
 Deposit  
 Account  
 Name

502464

Siemens Schweiz AG

The commissioner is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments

☒ Charge any additional fee(s) during the pendency of this application

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid
1001	2001	750	375	Utility filing fee	
1002	2002	330	165	Design filing fee	
1003	2003	520	260	Plant filing fee	
1004	2004	750	375	Reissue filing fee	
1005	2005	160	80	Provisional filing fee	

SUBTOTAL (1) (\$ 0

## 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent Claims	-20** =	X	
Multiple Dependent	-3** =	X	

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid
1202	2202	16	8	Claims in excess of 20	
1201	2201	84	42	Independent claims in excess of 3	
1203	2203	280	140	Multiple dependent claim, if not paid	
1204	2204	84	42	** Reissue independent claims over original patent	
1205	2205	16	8	** Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$ 0

\*\*or number previously paid. If greater, For Reissues, see above

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1051	130	2051	65	Surcharge - late filing fee or oath	130
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	2053	130	Non-English specification	
1812	2,520	2812	2,520	For filing a request for ex parte reexamination	
1804	920	2804	920	Requesting publication of SIR prior to Examiner action	
1805	1,840	2805	1,840	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	410	2252	205	Extension for reply within second month	
1253	930	2253	465	Extension for reply within third month	
1254	1,450	2254	725	Extension for reply within fourth month	
1255	1,970	2255	985	Extension for reply within fifth month	
1401	320	2401	160	Notice of Appeal	
1402	320	2402	160	Filing a brief in support of an appeal	
1403	280	2403	140	Request for oral hearing	
1451	1,510	2451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,300	2453	650	Petition to revive - unintentional	1280
1501	1,300	2501	650	Utility issue fee (or reissue)	
1502	470	2502	235	Design issue fee	
1503	630	2503	315	Plant issue fee	
1480	130	2480	130	Petitions to the Commissioner	
1807	50	2807	50	Processing fee under 37 CFR 1.17(g)	
1808	180	2808	180	Submission of Information Disclosure Stmt	
8021	40	28021	40	Recording each patent assignment per property (times number of properties)	
1809	750	2809	375	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	750	2810	375	For each additional invention to be examined (37 CFR 1.129(b))	
1801	750	2801	375	Request for Continued Examination (RCE)	
1802	900	2802	900	Request for expedited examination of a design application	

Other fee (specify) Fees due per Missing Parts Notice

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 3210

## SUBMITTED BY

(Complete if applicable)

Name (Print/Type)	Jacob Eisenberg	Registration No. (Attorney/Agent)	43.410	Telephone	+41585583285
Signature	<i>Jacob Eisenberg</i>	Date	January 7, 2003		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, D 20231.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/783,144	02/15/2001	Walter Rosenbaum	2001P01989 US

CONFIRMATION NO. 1512

## FORMALITIES LETTER



\*OC00000005875753\*

Jacob Eisenberg  
c/o Siemens AG  
PO Box 221634  
Munich, 80506  
GERMANY

Date Mailed: 03/19/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

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JAN 07 2003

PETITIONS OFFICE

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$960.
  - \$720 for 40 total claims over 20.
  - \$240 for 3 Independent claims over 3.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1800.

The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

03/26/2003 TL0011 00000001 502464 09783144

01 FC:1001	750.00 CH
02 FC:1201	252.00 CH
03 FC:1202	720.00 CH
04 FC:1051	130.00 CH

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

Application Number 09/783,144

Filing Date 02/15/2001

First Named Inventor Walter Rosenbaum

Group Art Unit to be assigned

Examiner Name to be assigned

Total Number of Pages in This Submission 17

Attorney Docket Number 2001P01989US

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**ENCLOSURES (check all that apply)**

- ☒ Fee Transmittal Form  
☐ Fee Attached  
☐ Amendment / Reply  
☐ After Final  
☐ Affidavits/declaration(s)  
☐ Extension of Time Request  
☐ Express Abandonment Request  
☐ Information Disclosure Statement  
☐ Certified Copy of Priority Document(s)  
☒ Response to Missing Parts/ Incomplete Application  
☒ Response to Missing Parts under 37 CFR 1.52 or 1.53

- ☐ Assignment Papers (for an Application)  
☒ Drawing(s)  
☐ Licensing-related Papers  
☒ Petition  
☐ Petition to Convert to a Provisional Application  
☐ Power of Attorney, Revocation Change of Correspondence Address  
☐ Terminal Disclaimer  
☐ Request for Refund  
☐ CD, Number of CD(s) \_\_\_\_\_

- ☐ After Allowance Communication to Group  
☐ Appeal Communication to Board of Appeals and Interferences  
☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)  
☐ Proprietary Information  
☐ Status Letter  
☒ Other Enclosure(s) (please identify below):

Remarks

Executed Declaration  
 Drawings are substitute drawings per PTO request

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**Firm  
or  
Individual name

Jacob Eisenberg c/o Siemens Schweiz, Customer Number 28204

Signature

*Jacob Eisenberg*

Date

01/07/2003

**CERTIFICATE OF FACSIMILE TRANSMISSION**

I hereby certify that this correspondence is being facsimile transmitted to the United States Patent &amp; Trademark Office on this date:

January 7, 2003

Typed or printed name

Jacob Eisenberg, Registration Number 43,410

Signature

*Jacob Eisenberg*

Date

01/07/2003

PTO/SB/97 (08-00)

Approved for use through 10/31/2002, OMB 0851-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

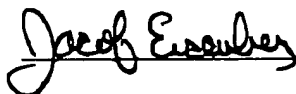
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## Certificate of Transmission under 37 CFR 1.8

I hereby certify that this correspondence is being facsimile transmitted to the  
United States Patent and Trademark Office

on January 7, 2003

Date



Signature

Jacob Eisenberg, Reg. No. 43,410

Typed or printed name of person signing Certificate

Customer Number 28204 - Serial Number: 09/783,144

Telephone: +41 585 583 295 - Atty Docket: 2001P01989US

Note: Each paper must have its own certificate of transmission, or this certificate must identify each submitted paper.

The following documents are transmitted herewith by facsimile. Should there be any errors with this translation, the USPTO is invited to contact the above-signed at the number provided.

1. Petition for Revival of an Application for Patent Abandoned Unintentionally under 37 CFR 1.137(b) (form PTO/SB/64)
2. Transmittal Form;
3. Fee Transmittal Form (form PTO/SB17);
4. Substitute Drawings;
5. Copy of Notice to File Missing Parts; and
6. Executed Declaration.

Burden Hour Statement: This form is estimated to take 0.03 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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JAN 07 2003

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# SIEMENS

**VIA FACSIMILE**

**Assistant Commissioner for Patents  
United States Patent & Trademark Office  
Washington, DC 20231**

**Fax: 001-703-308-6916**

Name	Jacobs Eisenberg
Division of activity	Intellectual Property
Department	IP
Phone	+41 585 58 3295
Fax	+41 585 58 3228
e-mail	patentabteilung@siemens.ch jacob.eisenberg@siemens.com
Your reference	09/783,144
Our reference	2001P01989US
Date	January 7, 2003

**Customer No.: 28204**

**FAX RECEIVED**

**JAN 07 2003**

**PETITIONS OFFICE**

Re: Utility Patent Application  
Atty. Dock.: 2001P01989US; Serial No.: 09/783,144  
Filed: 02/15/2001; Inventor(s): Dr. Walter Rosenbaum  
For: Closed Loop Electronic Factoring

Sir:

A NOTICE TO FILE MISSING PARTS OF NON-PROVISIONAL APPLICATION for the above application was mailed out by the US Patent Office on March 19, 2001. A response to the Notice was due September 19, 2001. Applicant unintentionally failed to respond to the outstanding Notice and this became known less than two months prior to this correspondence. A copy of the first page is enclosed with the remaining pages unintentionally lost by Applicant. Responsive to the outstanding Notice, the following documents are forwarded herewith for appropriate action by the U.S. Patent and Trademark Office:

1. Petition for Revival of an Application for Patent Abandoned Unintentionally under 37 CFR 1.137(b) (form PTO/SB/64)
2. Transmittal Form;
3. Fee Transmittal Form (form PTO/SB17);
4. Substitute Drawings;
5. Copy of Notice to File Missing Parts; and
6. Executed Declaration.

It is respectfully requested that the attached post card be stamped with the filing date of these documents and returned to us via post. A duplicate of this letter is enclosed.

**Siemens Switzerland Ltd**

Albisriederstrasse 245  
P.O.B  
CH-8047 Zürich

Main telephone number  
Siemens Switzerland SA  
+41 585 585 585

**SIEMENS**

2001P01989US

January 7, 2003, page 2

In the event that the transmittal form is separated from this document and the Patent Office determines that an extension of time and/or other relief is required, Applicant petitions for any required relief including extensions of time and authorize the Commissioner to charge the cost of such petitions and/or other fees in connection with the filing of this document to Deposit Account No.: 502464 referencing attorney docket number 2001P01989US. However, the Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Date: January 7, 2003

Respectfully Submitted,

Jacob Eisenberg  
Jacob Eisenberg, Esq.  
Attorney for Applicant  
Registration No. 43,410  
Customer No.: 28204

Enclosures